

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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| OMB APPROVAL                                   |           |
| OMB Number:                                    | 3235-0287 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |  |   |  |   |
|---|---------|--|---|--|---|
| 1. Name and Address of Reporting Person *<br><b>OXFORD ASSET MANAGEMENT LLP</b> |         | 2. Issuer Name and Ticker or Trading Symbol<br><b>KBL MERGER CORP. IV [KBLM]</b> |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>____ Director <input checked="" type="checkbox"/> 10% Owner<br>____ Officer (give title below)      ____ Other (specify below) |   |
| (Last)  | (First) | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year)<br><b>07/24/2019</b> |  |   |
| OXAM HOUSE, 6 GEORGE STREET   |         |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                  |  | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br>____ Form filed by More than One Reporting Person |
| (Street)  |         |  |   |  |   |
| OXFORD, X0 OX1 2BW  |         |  |   |  |   |
| (City)  |         |  | (State)   |  | (Zip)   |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |          | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|----------|---|--|---|
|                                  |                                      |  | Code                           | V | Amount  | (A) or (D) | Price    |   |  |   |
| Common stock, \$0.0001 par value | 07/24/2019                           |  | S                              |   | 100   | D          | \$ 10.49 | 1,138,385   | I (U)  | See footnote 1  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|--|--|--|--|
|  |  |                                      |  | Code                           | V | Date Exercisable   | Expiration Date |   |  |  |  |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| OXFORD ASSET MANAGEMENT LLP<br>OXAM HOUSE<br>6 GEORGE STREET<br>OXFORD, X0 OX1 2BW |               | X         |         |       |

## Signatures

|   |            |
|---|------------|
| -s- DAVINA GUINNESS, CHIEF COMPLIANCE OFFICER, ON BEHALF OF OXFORD ASSET MANAGEMENT LLP | 07/25/2019 |
|---|------------|

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 relates to Securities held for the account of OxAM Quant Fund Limited, a Cayman Islands exempted company ('OxAM'). OxAM has in place an Investment Advisory Agreement with OxFORD ASSET MANAGEMENT LLP ('OxFORD'), pursuant to which OxFORD serves as the investment adviser to OxAM. In such capacity,

(1) OxFORD may be deemed to exercise the voting and dispositive power over the Securities held for the account of OxAM. OxFORD disclaims beneficial ownership of the Securities except to the extent of its pecuniary interest therein, and this report shall not be deemed an admission that OxFORD is the beneficial owner of the Securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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